

Report by Omax Autos Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

S. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Omax Autos Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Sunil Kakria
	ii. PAN of the DP	AAOPK3705E
	iii. Designation of DP	Consultant
	iv. Functional Role of DP	Consultancy Service
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	520021 (BSE) OMAXAUTO (NSE)
	ii. No of shares traded and value (Rs.) (Date- wise)	Annexure-1
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NIL
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NIL
4	Details of violations observed under Code of Conduct	Trading during closure of Trading Window and trading of Share in a quarter of Value exceeding Rs. 10 Lacs without obtaining Pre-clearance

5	Action taken by Listed company/ Intermediary/ Fiduciary	Barred from dealing in securities of Companies for period of 6 Months and Imposed penalty of Rs. 25,000/-												
6	Reasons recorded in writing for taking action stated above	Reply submitted by DP was not satisfactory.												
7	Details of the previous instances of violations, if any, since last financial year	Annexure – 1												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online												
	ii. Details of transfer/payment In case of Online:													
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DD date														
DD amount (in Rs.)														
9	Any other relevant information	NA												

Yours faithfully,


Ravinder Singh Kataria

Compliance Officer

PAN: BKZPK9920P

Email: cs@omaxauto.com

Date: 07/09/2021

Place: Gurugram



Annexure - 1

Details of Trading by concerned DP					
S. No.	Type of Transaction	Period		Shares	Value
		From	To		
1	Sale	26-06-2020	30-06-2020	5000	167200
2	Sale	10-07-2020	17-07-2020	1000	33650
3	Sale	07-08-2020	14-08-2020	7696	347936
4	Sale	14-08-2020	21-08-2020	2500	117800
5	Sale	25-09-2020	30-09-2020	22700	914356
6	Sale	11-06-2021	18-06-2021	23000	1343430
7	Sale	18-06-2021	25-06-2021	17913	1058121
TOTAL				61896	3982493

* Exact value of transaction could not be ascertained due to non availability of exact information, therefore, weightage average price of share of whole week is taken into account for ascertaining the value of transactions

