

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Mohit Srivastava, Compliance Officer, have examined the following compliance requirement of Omax Autos Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	<u>Yes</u>	The Company has got it's own Structured Digital Database
2.	Whether control exists as to who can access the SDD for read/ write alongwith the names and PAN of such person?	<u>Yes</u>	There is absolute control
3.	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	<u>Yes</u>	Company has captured all events of UPSI.
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	<u>Yes</u>	The recipients were upfront informed that the information is UPSI and entry has been captured in the database.
5.	Whether nature of UPSI have been captured alongwith date and time?	<u>Yes</u>	We captured code name of the project
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	<u>Yes</u>	The name of persons who have shared information has been captured along with PAN.
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	<u>Yes</u>	The names of persons captured along with PAN.
8.	Whether the database has been maintained internally?	<u>Yes</u>	Database maintained internally on our server.
9.	Whether audit trail is maintained?	<u>Yes</u>	Company has maintained audit trail
10.	Whether time stamping is maintained?	<u>Yes</u>	Time stamping being maintained



11.	Whether the database is non-tamperable?	<u>Yes</u>	The database is non tamperable
12.	Any other measures to ensure non-tamperability of the Database?	<u>Yes</u>	Access is only with Compliance Officer. The Company has internal & operational controls subject to periodical management, secretarial & internal audit.

Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.

The number of days for which non-compliance was observed:

Further I also confirm that the Company was required to capture 1 number of events during the quarter/half year ended and has captured 1 number of the said required events.

For Omax Autos Limited


Compliance Officer

Date: 09th August, 2022

Place: Gurgaon

