

OMAX/STEX/2022-23/M-23-40 (9)

Date: 29th April, 2023

**The Manager – Listing
National Stock Exchange of India Ltd.
Exchange Plaza,
Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051**

**The Manager - Listing
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai – 400 001**

NSE Code: OMAXAUTO

BSE Code: 520021

Dear Sir,

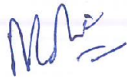
Sub: Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to the captioned matter, please find enclosed herewith the Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the financial year ended **31st March, 2023**, issued by M/s. MNK AND ASSOCIATES LLP.

This is for your information and record please.

Thanking you.

Yours Sincerely,
For Omax Autos Limited



**Mohit Srivastava
(Company Secretary cum Compliance Officer)**



Encl.: As above



MNK AND ASSOCIATES LLP

COMPANY SECRETARIES, LLPIN: AAM-9113

9A/9-10, Basement, East Patel Nagar, New Delhi - 110008

Tel: +91-11-45095230; Mobile : +91-9818156340 ; Email: nazim@mnkassociates.com;

The Board of Directors

Omax Autos Limited

R/o. Plot No. B-26, Institutional Area,

Sector-32, Gurgaon

Haryana 122001

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) 2015 FOR THE YEAR ENDED 31ST MARCH, 2023

We have examined the relevant books and records of Omax Autos Limited (CIN: L30103HR1983PLC026142) having its Registered Office at Plot No. B-26, Institutional Area, Sector-32, Gurgaon Haryana 122001, maintained and produced before us electronically by their Registrar and Share Transfer Agent namely Link Intime India Private Limited for the purpose of issuing a Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 ("Regulation") and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that in our opinion and according to the best of our information and belief the Company in relation to the financial year ended March 31, 2023:

- (i) The Company has not received any equity Shares for Transfer, Transmission, Transposition, deletion of name, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement of calls/allotment monies, Sub-division/Splitting of securities certificate and Consolidation of securities certificates/folios.
- (ii) The Company has received the requests for duplicate Equity Share certificates and stop transfers and accordingly, have issued the following:
 - a. 600 Equity Shares to Vishwa Mittar Rana on July 21, 2022 (date of Board meeting for issuance of duplicate share certificate) and informed to NSE and BSE on 22.07.2022 within the period stipulated under the Regulation 39(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 from the date of lodgment of request.
 - b. 100 Equity Shares to Sanjiv Gupta and Rajiv Gupta on 09.09.2022 (date of stop transfer) and email received from RTA on 10.09.2022. NSE and BSE was informed on 13.09.2022. *Hence, there was a delay of 1 day in reporting to NSE and BSE.*
 - c. 600 Equity Shares to Dawoodi Gandhi and Ilyas Ganghi on 15.09.2022 (date of stop transfer) and email received from RTA on 16.09.2022. NSE

and BSE was informed on 17.09.2022 within the period stipulated as per SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 from the date of lodgment of request.

For MNK and Associates LLP
Company Secretaries
Firm Regn No: L2018DE004900
Peer Review Certificate No: 671/2020

PRIYANKA
A GUPTA

Digitally signed by
PRIYANKA GUPTA
Date: 2023.04.28
15:23:37 +05'30'

Priyanka Gupta
Designated Partner
FCS: 9355 (COP: 24273)
UDIN: F009355E000209753

Place: Delhi
Date: 27.04.2023

Note: The Report is based on inputs received from Company / RTA.